



Electronic Delivery of Documents

Clients with brokerage and/or direct investment accounts may choose to receive account information and records electronically. This includes trade confirmations, account statements, proxies, shareholder information, and other documents, both regulatory and non-regulatory.

Once you have consented to receive electronic delivery, documents will be provided to you when the third party investment companies post the information on servers accessible to you on a password-protected portion of the applicable third-party website. You can choose to receive an online notification or email regarding the availability of the documents on the site. You agree to check your account on the site regularly, as you may not have any other means of knowing that a communication has been delivered to you.

Some documents, such as trade confirmations and account statements, are archived online to access at your convenience. Online access and usage charges by your Internet service or access provider may apply. Documents and information will be available in HTML or PDF format and may be printed and/or saved. You acknowledge that any alerts or messages from the firm providers contain important information affecting your account and you are responsible for reading these alerts and messages, and other notices, disclosures, and communications on the applicable websites.

Consent to Electronic Delivery of Documents

By consenting to electronic delivery, you are agreeing to suppress the mailing of paper documents. Your consent to electronic delivery is immediate, although it may take a few business days to process the request, and it will remain in effect unless revoked by the third party investment firm or you.

You may revoke your consent to electronic delivery at any time by visiting your profile selections on the applicable website, or by notifying Northland in writing. This revocation from you is only effective after we receive and process it. If you wish to receive paper documents through the U.S. mail, you understand that you may be charged fees for delivery of these paper documents. If the third party firm is unable to notify you electronically, you understand that they may, in their sole and absolute discretion, discontinue electronic delivery and send you account documents in paper form. These physical documents will be mailed to the home or mailing address provided by you.
