



**Nicholas J. Novak, CFA®**  
**Vice President**  
**Fixed Income Trading**

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BACKGROUND

Nicholas is a Vice President in Fixed Income Trading at Northland Securities with primary focus on trading corporate credits. He also trades distressed and high-yield municipal bonds and serves as a member of the Investment Banking Equity Review Committee. Prior to joining Northland Securities, Nicholas worked in public accounting as an auditor and accountant at Olsen Thielen & Co., focusing on the telecommunications, manufacturing, and hedge fund industries, and as an internal auditor at Wells Fargo and Co., concentrating on mortgage banking. He believes that his experience in audit and accounting gives him a unique perspective that is helpful in analyzing the creditworthiness of a wide range of securities.

Outside of work, Nicholas serves on the Finance Committee of the Minneapolis Club, on the board of Grant Park Condos as the Treasurer, and as the Executive Director for a small family foundation.

EDUCATION

Nicholas received his Bachelor of Arts degree in Accounting from Gustavus Adolphus College and his Master's degree in Business Administration with a concentration in Finance from the University of Minnesota - Carlson School of Management.

PROFESSIONAL LICENSES/DESIGNATIONS

Nicholas holds and maintains the FINRA Series 7 General Securities Representative, FINRA Series 57 Securities Trader Qualification, Series 66 Investment Advisor Representative and Securities Agent registrations, and is a Chartered Financial Analyst® (CFA®) Charterholder.

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Headquartered in Minneapolis, Minnesota, Northland Securities has branch offices in Iowa, California, Colorado, Illinois, New York, and Wisconsin.