

fidessa SEC Rule 606 - Order Routing Disclosure

Report: FID606 Firm Name: Northland Securities, Inc.

Run on: 31 Mar, 2017 at 19:53
Activity Date: 03/31/2017
Quarter Starting On: 01/01/2017

Exchange: ASE and All Other National Exchanges

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	32																				
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%																				
Non-Directed Customer Orders That Were:																					
	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%;"></td> <td style="width: 25%; text-align: center;">Market</td> <td style="width: 25%; text-align: center;">Limit</td> <td style="width: 25%; text-align: center;">Other including "Not Held"</td> </tr> <tr> <td></td> <td style="text-align: center;">Percentage</td> <td style="text-align: center;"># of Orders</td> <td style="text-align: center;">Percentage</td> </tr> <tr> <td></td> <td style="text-align: center;">0.00%</td> <td style="text-align: center;">0</td> <td style="text-align: center;">0.00%</td> </tr> <tr> <td></td> <td></td> <td style="text-align: center;">0</td> <td style="text-align: center;">100.00%</td> </tr> <tr> <td></td> <td></td> <td></td> <td style="text-align: center;">32</td> </tr> </table>		Market	Limit	Other including "Not Held"		Percentage	# of Orders	Percentage		0.00%	0	0.00%			0	100.00%				32
	Market	Limit	Other including "Not Held"																		
	Percentage	# of Orders	Percentage																		
	0.00%	0	0.00%																		
		0	100.00%																		
			32																		

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
CLEARPOOL EXECUTION SERVICES, LLC :	9.38%	3	0.00%	0	0.00%	0	9.38%	3
Citadel Securities LLC : CDRG	3.13%	1	0.00%	0	0.00%	0	3.13%	1
IEX SERVICES LLC : IEXG	9.38%	3	0.00%	0	0.00%	0	9.38%	3
KCG AMERICAS LLC : DTTX	18.75%	6	0.00%	0	0.00%	0	18.75%	6
MERRILL LYNCH, PIERCE, FENNER & SMITH	9.38%	3	0.00%	0	0.00%	0	9.38%	3
Northland Securities, Inc. : NORT	6.25%	2	0.00%	0	0.00%	0	6.25%	2
RBC CAPITAL MARKETS, LLC : RBCM	43.75%	14	0.00%	0	0.00%	0	43.75%	14

Exchange: NASDAQ Stock Exchange Securities (NAS)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	647																				
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%																				
Non-Directed Customer Orders That Were:																					
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	Market	Limit	Other including "Not Held"																		
	Percentage	# of Orders	Percentage																		
	0.00%	0	0.62%																		
		0	99.38%																		
			643																		

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
CLEARPOOL EXECUTION SERVICES, LLC :	22.72%	147	0.00%	0	0.15%	1	22.57%	146
Citadel Securities LLC : CDRG	13.14%	85	0.00%	0	0.00%	0	13.14%	85
IEX SERVICES LLC : IEXG	0.77%	5	0.00%	0	0.00%	0	0.77%	5
KCG AMERICAS LLC : DTTX	38.64%	250	0.00%	0	0.15%	1	38.49%	249
MERRILL LYNCH, PIERCE, FENNER & SMITH	18.08%	117	0.00%	0	0.00%	0	18.08%	117

Nasdaq Execution Services, LLC. : NSDQ	0.62%	4	0.00%	0	0.31%	2	0.31%	2
Northland Securities, Inc. : NORT	3.55%	23	0.00%	0	0.00%	0	3.55%	23
RBC CAPITAL MARKETS, LLC : RBCM	2.47%	16	0.00%	0	0.00%	0	2.47%	16

Exchange: New York Stock Exchange Securities (NYSE)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	219					
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%					
Non-Directed Customer Orders That Were:						
	Market	Limit	Other including "Not Held"			
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
	0.00%	0	0.91%	2	99.09%	217

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
BBSS	0.91%	2	0.00%	0	0.00%	0	0.91%	2
CLEARPOOL EXECUTION SERVICES, LLC :	23.29%	51	0.00%	0	0.00%	0	23.29%	51
Citadel Securities LLC : CDRG	7.76%	17	0.00%	0	0.00%	0	7.76%	17
IEX SERVICES LLC : IEXG	1.37%	3	0.00%	0	0.00%	0	1.37%	3
KCG AMERICAS LLC : DTTX	27.40%	60	0.00%	0	0.00%	0	27.40%	60
MERRILL LYNCH, PIERCE, FENNER & SMITH	17.81%	39	0.00%	0	0.00%	0	17.81%	39
Nasdaq Execution Services, LLC. : NSDQ	2.28%	5	0.00%	0	0.91%	2	1.37%	3
Northland Securities, Inc. : NORT	0.91%	2	0.00%	0	0.00%	0	0.91%	2
RBC CAPITAL MARKETS, LLC : RBCM	18.26%	40	0.00%	0	0.00%	0	18.26%	40

End of SEC Rule 606 - Order Routing Disclosure Report