

fidessa SEC Rule 606 - Order Routing Disclosure

Report: FID606 Firm Name: Northland Securities, Inc.

Run on: 31 Dec, 2018 at 19:58
Activity Date: 12/31/2018
Quarter Starting On: 10/01/2018

Exchange: ASE and All Other National Exchanges

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	1,114	
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%	
Non-Directed Customer Orders That Were:		
Market	Limit	Other including "Not Held"
Percentage # of Orders	Percentage # of Orders	Percentage # of Orders
0.00% 0	0.00% 0	100.00% 1,114

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
CLEARPOOL EXECUTION SERVICES, LLC	3.77%	42	0.00%	0	0.00%	0	3.77%	42
Citadel Securities LLC : CDRG	0.36%	4	0.00%	0	0.00%	0	0.36%	4
IEX SERVICES LLC : IEXG	0.09%	1	0.00%	0	0.00%	0	0.09%	1
MERRILL LYNCH, PIERCE, FENNER & SMIT	1.62%	18	0.00%	0	0.00%	0	1.62%	18
Nasdaq Execution Services, LLC. : NSDQ	0.36%	4	0.00%	0	0.00%	0	0.36%	4
Northland Securities, Inc. : NORT	2.51%	28	0.00%	0	0.00%	0	2.51%	28
RBC CAPITAL MARKETS, LLC : RBCM	90.57%	1,009	0.00%	0	0.00%	0	90.57%	1,009
Nasdaq Execution Services, LLC. : NSDQ	0.45%	5	0.00%	0	0.00%	0	0.45%	5
RBC CAPITAL MARKETS, LLC : RBCM	0.27%	3	0.00%	0	0.00%	0	0.27%	3

Exchange: NASDAQ Stock Exchange Securities (NAS)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	2,387	
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%	
Non-Directed Customer Orders That Were:		
Market	Limit	Other including "Not Held"
Percentage # of Orders	Percentage # of Orders	Percentage # of Orders
0.00% 0	0.21% 5	99.79% 2,382

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
CLEARPOOL EXECUTION SERVICES, LLC	14.79%	353	0.00%	0	0.00%	0	14.79%	353
Citadel Securities LLC : CDRG	0.46%	11	0.00%	0	0.00%	0	0.46%	11
MERRILL LYNCH, PIERCE, FENNER & SMIT	10.10%	241	0.00%	0	0.00%	0	10.10%	241

Nasdaq Execution Services, LLC. : NSDQ	7.62%	182	0.00%	0	0.08%	2	7.54%	180
Northland Securities, Inc. : NORT	7.96%	190	0.00%	0	0.08%	2	7.88%	188
RBC CAPITAL MARKETS, LLC : RBCM	58.86%	1,405	0.00%	0	0.00%	0	58.86%	1,405
Northland Securities, Inc. : NORT	0.21%	5	0.00%	0	0.04%	1	0.17%	4

Exchange: New York Stock Exchange Securities (NYSE)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000: 2,135

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000: 100.00%

Non-Directed Customer Orders That Were:	Market		Limit		Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
	0.00%	0	0.05%	1	99.95%	2,134

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
BBSS	0.19%	4	0.00%	0	0.00%	0	0.19%	4
CLEARPOOL EXECUTION SERVICES, LLC	3.61%	77	0.00%	0	0.00%	0	3.61%	77
Citadel Securities LLC : CDRG	0.14%	3	0.00%	0	0.00%	0	0.14%	3
MERRILL LYNCH, PIERCE, FENNER & SMIT	6.74%	144	0.00%	0	0.00%	0	6.74%	144
Nasdaq Execution Services, LLC. : NSDQ	0.37%	8	0.00%	0	0.00%	0	0.37%	8
Northland Securities, Inc. : NORT	0.09%	2	0.00%	0	0.00%	0	0.09%	2
RBC CAPITAL MARKETS, LLC : RBCM	88.81%	1,896	0.00%	0	0.05%	1	88.76%	1,895
RBC CAPITAL MARKETS, LLC : RBCM	0.05%	1	0.00%	0	0.00%	0	0.05%	1

End of SEC Rule 606 - Order Routing Disclosure Report