



**Dave Bergum** Director Institutional Sales

# CONTACT

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#### BACKGROUND

Dave has been in the financial services industry since 1990 and joined Northland Securities in 2003. He specializes in the sale of fixed income securities to Independent Community Banks in the upper Midwest. He is a member of several community banking organizations.

### **EDUCATION**

Dave earned his B.A. degree in Business Administration from the University of Minnesota, Duluth.

## PROFESSIONAL LICENSES/DESIGNATIONS

He holds and maintains the FINRA Series 7 General Securities Representative and Series 63 Uniform Securities State Law Exam registrations.

## NORTHLAND SECURITIES, INC.

Northland Securities, Inc., Member FINRA/SIPC, Registered with SEC and MSRB, is a diversified financial services firm, including investment banking and full-service broker-dealer divisions, offering investment and finance services for government entities, financial institutions, non-profit organizations, and individual investors. Northland is a leading underwriter of tax-exempt bonds in the Midwest, and is recognized for its equity markets research and specialization in finance and capital market access for corporate, government, and non-profit clients. Headquartered in Minneapolis, Minnesota, Northland Securities has branch offices in California, Colorado, Iowa, Missouri, New York, and Wisconsin.