



Carl Goltermann
Director
Investment Banking

CONTACT

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BACKGROUND

Mr. Goltermann joined Northland Capital Markets in 2013. Prior to joining Northland Capital Markets, Mr. Goltermann was an Associate Director of Investment Banking at C. K. Cooper & Company, a boutique investment banking firm focused on the energy sector. Mr. Goltermann has been involved in more than 65 transactions totaling over \$10 billion in value over the last three years. These transactions included capital formation, valuation and Fairness Opinions, M&A, and corporate advisory. He has been particularly focused on all parts of the SPAC process, from raising IPO capital to advising on the Initial Business Combination and funding the PIPE to introducing investors during the deSPAC marketing. Mr. Goltermann has also been published in Hart's Oil & Gas Investor.

EDUCATION

Mr. Goltermann earned a B.S. in Business Administration with an emphasis in Finance from the Argyros School of Business at Chapman University.

PROFESSIONAL LICENSES

He maintains FINRA Series 7 General Securities Representative, Series 66 Uniform Combined State Law, and Series 79 Investment Banking Registered Representative registrations.

NORTHLAND CAPITAL MARKETS

Northland Capital Markets is a full-service capital markets group focused on growth companies and their institutional and accredited investors. Its investment banking services include public offerings of equity and convertible securities, PIPEs, CMPOs and registered direct offerings, private placements of equity and debt securities, and M&A advisory services. The research group provides in-depth research for leading small and mid-cap companies in growth sectors of the economy, with sector expertise in Business Services, Consumer, Energy, Healthcare, Industrial Growth, and Technology. Northland's institutional sales and trading group provides active market making and trading support in its targeted sectors and coverage companies. Northland Securities, Inc., Member FINRA/SIPC, Registered with SEC and MSRB, is a diversified financial services firm headquartered in Minneapolis, Minnesota, with branch offices in California, Colorado, Iowa, Michigan, New York, and Wisconsin.

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