



2018 Transactions

<p>\$345,000,000</p>  <p>FINTECH ACQUISITION CORP. III SPAC IPO Co-Manager</p> <p>November 2018</p>	<p>\$475,000,000</p>  <p>Convertible Notes Co-Manager</p> <p>October 2018</p>	<p>\$15,180,000</p>  <p>Follow-On Offering Co-Manager</p> <p>October 2018</p>	<p>\$175,000,000</p>  <p>Convertible Notes Co-Manager</p> <p>October 2018</p>
<p>\$300,000,000</p>  <p>Senior Notes Co-Manager</p> <p>October 2018</p>	<p>\$37,500,000</p>  <p>Follow-On Offering Co-Manager</p> <p>September 2018</p>	<p>\$10,000,000</p>  <p>Convertible Preferred Sole Placement Agent</p> <p>September 2018</p>	<p>\$150,000,000</p>  <p>Initial Public Offering Co-Manager</p> <p>August 2018</p>
<p>\$11,776,000</p>  <p>Follow-On Offering Lead Manager</p> <p>August 2018</p>	<p>\$14,374,978</p>  <p>Follow-On Offering Sole Bookrunner</p> <p>August 2018</p>	<p>\$148,580,000</p>  <p>Follow-On Offering Co-Manager</p> <p>August 2018</p>	<p>\$172,500,003</p>  <p>Follow-On Offering Co-Manager</p> <p>August 2018</p>
<p>\$218,500,000</p>  <p>Follow-On Offering Co-Manager</p> <p>August 2018</p>	<p>\$28,506,712</p>  <p>Initial Public Offering Co-Manager</p> <p>August 2018</p>	<p>\$19,198,935</p>  <p>Common Units U.S. Agent</p> <p>August 2018</p>	<p>\$750,000,000</p>  <p>Senior Notes Co-Manager</p> <p>August 2018</p>

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2018 Transactions (continued)







<p>\$3,500,000</p> <p> ClickSWITCH</p> <p>Private Placement Financial Advisor</p> <p>July 2018</p>	<p>\$281,250,000</p> <p> VIPER Energy Partners</p> <p>Follow-On Offering Co-Manager</p> <p>July 2018</p>	<p>\$50,000,000</p> <p> Nabriya THERAPEUTICS</p> <p>Follow-On Offering Co-Manager</p> <p>July 2018</p>	<p>Up to \$50,000,000</p> <p> tensILITY</p> <p>Fund II Placement Placement Agent</p> <p>July 2018</p>
<p>\$175,950,000</p> <p> TILRAY</p> <p>Initial Public Offering Co-Manager</p> <p>July 2018</p>	<p>\$230,000,000</p> <p> Cal/Amp</p> <p>Convertible Notes Co-Manager</p> <p>July 2018</p>	<p>\$15,000,000</p> <p> Chicken Soup for the Soul. Entertainment</p> <p>Preferred Stock Co-Manager</p> <p>June 2018</p>	<p>\$68,999,997</p> <p> tropanion</p> <p>Follow-On Offering Co-Manager</p> <p>June 2018</p>
<p>\$35,190,000</p> <p> VERITONE.</p> <p>Follow-On Offering Co-Manager</p> <p>June 2018</p>	<p>\$43,575,000</p> <p> ASURE SOFTWARE</p> <p>Follow-On Offering Co-Manager</p> <p>June 2018</p>	<p>\$18,000,000</p> <p> MicroVision</p> <p>Follow-On Offering Co-Manager</p> <p>June 2018</p>	<p>\$75,719,996</p> <p> USA TECHNOLOGIES</p> <p>Follow-On Offering Co-Manager</p> <p>May 2018</p>
<p>\$3,400,000</p> <p> GRAVIE</p> <p>Private Placement Placement Agent</p> <p>May 2018</p>	<p>\$74,752,300</p> <p> PRIMO WATER</p> <p>Follow-On Offering Co-Manager</p> <p>May 2018</p>	<p>\$225,000,000</p> <p> Five9</p> <p>Convertible Notes Co-Manager</p> <p>May 2018</p>	<p>\$183,750,000</p> <p> casa systems</p> <p>Follow-On Offering Co-Manager</p> <p>April 2018</p>












2018 Transactions (continued)

<p>\$93,389,026</p>  <p>Follow-On Offering Co-Manager</p> <p>April 2018</p>	<p>\$179,400,000</p>  <p>Follow-On Offering Co-Manager</p> <p>March 2018</p>	<p>\$460,000,000</p>  <p>Convertible Notes Co-Manager</p> <p>February 2018</p>	<p>\$86,296,000</p>  <p>Follow-On Offering Co-Manager</p> <p>February 2018</p>
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<p>\$91,425,000</p>  <p>Follow-On Offering Co-Manager</p> <p>February 2018</p>	<p>\$86,250,000</p>  <p>Follow-On Offering Co-Manager</p> <p>January 2018</p>	<p>\$20,000,000</p>  <p>Follow-On Offering Co-Manager</p> <p>January 2018</p>
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<p>\$28,000,000</p>  <p>Has been acquired by</p>  <p>Sell-Side Advisor</p>	 <p>FINTECH ACQUISITION CORP. II</p> <p>Has merged with</p>  <p>International Money Express</p> <p>Financial Advisor</p>	 <p>Safe Harbor IRA Portfolio</p> <p>Has been acquired by</p>  <p>Fairness Opinion</p>	<p>Up to \$250,000,000</p> <p>TANDEM LIFE</p> <p>Has been acquired by</p>  <p>Sell-Side Advisor</p>
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<p>Up to \$250,000,000</p> <p>TANDEM LIFE</p> <p>Has been acquired by</p>  <p>Fairness Opinion</p>	 <p>Has been acquired by</p>  <p>a subsidiary of</p>  <p>Sell-Side Advisor</p>	 <p>Has been acquired by</p>  <p>a subsidiary of</p>  <p>Fairness Opinion</p>	 <p>Has been acquired by</p>  <p>Sell-Side Advisor</p>
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