

northland

FEE SCHEDULE

Bank Wire Funds		
Outgoing	\$15.00 per wire transfer	*
Incoming	none	
Check Stop Payment	\$10.00 per event	
Returned Check	\$20.00 per event	
Overnight Delivery		
Standard Delivery	\$12.00 per item	
Saturday Delivery	\$18.00 per item	
Trade Service Fee	\$10.00 per trade	++
Inactive Account	\$25.00 per inactive acct/per year	+
Inactive Account - Mutual Funds Only	\$12.50 per inactive acct/per year	+
Physical Certificate Safekeeping	\$2.00 per acct/per position/per month	
Safekeeping - Non-US Market Securities (additional custody fees may apply)	\$2.00 per acct/per position/per month pass through	
Reg T Extension	\$10.00 per event	*
Reorganization Items - Voluntary	\$40.00 per event	*
Retirement Accounts & Savings Accounts		
Traditional IRA, Roth IRA, SEP IRA & Education Saving Accounts	\$45.00 annual fee	*
Traditional IRA, Roth IRA, SEP IRA - Mutual Fund Only	\$12.00 annual fee	
Traditional IRA, Roth IRA, SEP IRA, Prototype SEP IRA and SIMPLE IRA - Conversion to Mutual Fund Only	\$50.00 per event	
Prototype SEP and SARSEPs	\$58.50 annual fee	
Prototype SEP and SARSEPs - Mutual Fund Only	\$12.00 annual fee	
SIMPLE Plans and 403(b)(7) Custodial Accounts	\$58.50 annual fee	
SIMPLE Plans - Mutual Fund Only	\$12.00 annual fee	
Qualified Retirement Plans (QRP) - Individual (k), Simplified 401(k), Simplified Profit Sharing and Money Purchase Plans	\$75.00 annual fee	
Qualified Retirement Plans (QRP) - Flexible 401(k), Flexible Profit Sharing and Money Purchase Plans	\$125.00 annual fee	
Termination - All Types	\$95.00 per event	
Alternative Investment Products Processing		
Eligibility Review Fee	\$1,200.00 assessed regardless of outcome	
Subscription, Redemption & Re-registration Fee	\$50.00 per event	
Annual Service Charge - Registered Alt Investment	\$35.00 per position	
Annual Service Charge - Unregistered Alt Investment	\$125.00 per position	
UBTI Tax Return Processing (IRS Form 990-T)	\$200.00 per tax return filed	
Paper Subscription Fee		
Statements & Reports, Trade Confirms, Notifications	\$2.00 per acct/per month/assessed quarterly	E
Tax Documents	\$10.00 per acct/per year/assessed in March	E
Enhanced 1099 Tax & Year-End Statement	\$2.00 per acct/per year	
Year End Account Report	\$3.00 per acct /per year plus postage & handling for paper mail	

Fees Effective April 1, 2025

+ Fee does not apply to Northland Asset Management accounts.

* A portion of the total fee assessed is retained by Northland Securities Inc. Refer to the latest Northland Asset Management ADV2A for the amount of the fee retained.

E Fee waived if fully enrolled in eDelivery by the 25th of the month

Transfers

Outgoing Account Transfers	\$125.00 per transfer	*
Direct Registration	\$10.00 per transfer	
Transfer Agent - additional fees may apply	pass through	
Certificate Cancellation/Re-Issue	pass through	
Deposit & Withdrawal at Custodian (DWAC)	\$5.00 per instruction	
DTC Deposit - pass through	\$3.00 per event	
DTC Withdrawal - pass through	\$5.00 per event	
Transfer Agent - additional fees may apply	pass through	
Euroclear DWAC	\$150.00 per item	
Legal, GNMA & Restricted Items	\$135.00 per transfer	
Non-US Market Transfer - Euroclear	\$25.00 per item	
All Other Non-US Market Depositories	\$75.00 per item	
Accommodation Transfer	\$60.00 per transfer	
Register & Ship Certificate	\$60.00 per transfer	
Transfer Agent - additional fees may apply	pass through	
Puerto Rico Tax Reporting	\$50.00 per account/per year	
Cashless Options Exercise Program	\$50.00 per exercise	

Corestone® Asset Management Account

Silver Account - electronic direct deposit/debit only	\$0.00	
Silver Account	\$25.00 annually (\$0 if cash sweep balances exceed \$25,000)	
Silver Account Plus	\$50.00 annually	
Gold Account	\$100.00 annually	
Platinum Account	\$150.00 annually	
Corporate Gold Account	\$150.00 annually	
Corporate Platinum Account	\$250.00 annually	

Other Checking and Debit Card Related Fees:

Personal Checks-Initial Order	waived	
Check Reorder - Silver	\$12.50 per 100 checks	
Check Reorder - Gold	\$10.00 per 100 checks	
Check Reorder - Platinum	\$7.50 per 100 checks	
Carbon Copy Checks-Initial Order	\$15.00	
Checks Reorder (Silver/Gold/Platinum)	\$25.00	
Business Checks-Initial Order	\$50.00	
Checks Reorder (Corporate Gold/Platinum)	\$40.00	
Returned Checks or ACH Debits	\$25.00	
Stop Payments	\$25.00 (\$0 for Platinum)	
Cash Advance Fee (non-ATM)	0.25% of principal - \$2.50 minimum	
Overnight Checks or Card Delivery	\$40.00	
Copy of Paid Check/VISA Draft	\$2.50	

Other Corestone use-based fees may apply and are specifically documented in the customer's Corestone agreement.

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MEMBER FINRA / SIPC
REGISTERED WITH SEC / MSRB

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Fee Schedule Eff. 4/1/2025

7/1/2025